

ANZSOG Research Funding Rules for 2017 Funding Round

1. Funding Rules

The ANZSOG Research Funding Rules for 2017 Funding Round are referred to in this document as **the Funding Rules**. The Funding Rules govern the Funding Agreement.

2. Commencement

The Funding Rules will apply to Proposals submitted for consideration at the ANZSOG Research Committee Meeting on 20 July 2017. The Funding Rules will not apply to Proposals submitted and resubmitted for consideration at later meetings.

3. Definitions

In the Funding Rules, unless the contrary intention appears:

ANZSOG means The Australia and New Zealand School of Government (ABN: 69 102 908 118).

ANZSOG Activity means:

- Teaching in an ANZSOG program or course
- Assistance to ANZSOG teaching
- Presentation at ANZSOG Applied Learning event or other lecture
- Participation on the ANZSOG Board or an ANZSOG Board Committee, or
- Other involvement in ANZSOG's governance or establishment.

ANZSOG Government Partner means any of the following:

- Australian Capital Territory (ACT) Government
- Australian Government
- Government of South Australia (SA)
- Government of Western Australia (WA)
- New South Wales (NSW) Government
- New Zealand (NZ) Government
- Northern Territory (NT) Government

- Queensland Government
- Tasmanian Government, and
- Victorian Government.

ANZSOG Jurisdictions means the jurisdictions of ANZSOG Government Partners.

ANZSOG Research Funding Support means the Grant authorised by the ANZSOG Research Committee in support of a formal research Proposal.

ANZSOG University Partner means any of the following:

- The Australian National University (ANU)
- Carnegie Mellon University (CMU) H. John Heinz III School of Public Policy and Management
- Charles Darwin University
- Curtin University
- Flinders University
- Griffith University
- Melbourne Business School
- Monash University
- The University of Melbourne
- The University of New South Wales (UNSW)
- The University of Queensland
- The University of Sydney
- University of Canberra
- University of Tasmania
- Victoria University of Wellington (VUW).

Funding Agreement means the contract that sets out the conditions of the Grant.

Funding Rules means ANZSOG Research Funding Rules for Funding Commencing in FY2017/18 (this document).

Grant means the allocation of ANZSOG Research Funding Support to the Grantee by the Grantor in respect of a Proposal accepted by the Research Committee subject to the terms of the Funding Agreement.

Grantee means the recipient of the grant of ANZSOG Research Funding Support in respect of a Proposal accepted by the Research Committee.

Grantor means ANZSOG.

GST has the following definitions:

- for Proposals led by Australians, the meaning given in section 195-1 of the *A New Tax System (Goods and Services Tax) Act 1999*, and
- for Proposals led by New Zealanders, the meaning given in section 8 (1) of the *Goods and Services Tax Act 1985*.

Initial Output means any Project Output that is produced within 8 to 12 months of the Grant.

Project means the research project forming the basis of the Proposal.

Project Output means any communication (whether written or otherwise) that presents the findings of the Project and includes the following:

- Books
- Book chapters
- Journal articles (refereed or non-refereed)
- Essays or monographs
- Conference papers
- Reports
- Speeches
- Newspaper or periodical articles
- Teaching manuals or case studies
- Transcripts of presentations and interviews, and
- Audiovisual recordings.

Proposal means a formal request for ANZSOG Research Funding Support with respect to a Project, which is submitted to ANZSOG.

Tax Invoice has the following definitions:

- for Proposals led by Australians, the meaning given in section 195-1 of the *A New Tax System (Goods and Services Tax) Act 1999*, and
- for Proposals led by New Zealanders, the meaning given in section 2 of the *Goods and Services Tax Act 1985*.

Travel Costs means the cost of airfares, accommodation, meals, and other expenses necessarily and reasonably incurred in the course of Project-related travel.

4. ANZSOG Research Objectives

ANZSOG boasts an innovative, world-leading research program specialising in public administration, public management and public policy. Through an interjurisdictional and collaborative approach, the ANZSOG research agenda aims to:

- Contribute to continuing reform of the public sector
- Support the development of best practice in government
- Inform debate on key public administration issues
- Maintain the quality of our education programs, and
- Deepen public understanding of government.

5. Selection Criteria

Proposals for ANZSOG Research Funding Support must meet all four mandatory requirements in order to be considered. Proposals that meet these requirements will then be assessed by the ANZSOG Research Committee using the indicated weightings for both the mandatory and additional considerations set out below.

Proposals for ANZSOG Research Funding Support that satisfy criteria 5, 6 and 7 will be attributed the 5% allocated for each of these criteria.

Please see section 6.3 for topics solicited in the current funding round.

Four mandatory requirements

- 1) Investigator: the Project is led by an academic who:
 - a. is closely involved in at least one ANZSOG activity, or
 - b. demonstrates a strong record of high-quality research in the fields of public administration, public management or public policy. **(10%)**
- 2) Teaching resource: the Project is designed as a resource for, or is likely to inform, future ANZSOG teaching or applied learning/knowledge activities. **(30%)**
- 3) Academic contribution: the Project fills a gap in existing research-based knowledge of an aspect of public administration, public management or public policy, perceived as important by more than one ANZSOG Government Partner. **(35%)**
- 4) Publication outputs: the Project will commit to produce, at a minimum:
 - a. one paper admitted to an academic journal, particularly the *Australian Journal of Public Administration (AJPA)*, the *Australian Journal of Political Science*, *Public Administration*, the *Public Administration Review* and the *International Journal of Public Administration*, or

- b. one submission to the ANZSOG/ANU Press Monograph Series (subject to approval by the editors), or
- c. one paper submitted to ANZSOG's general publication series (subject to approval by the ANZSOG Research Secretariat and peer reviewers). **(10%)**

Additional considerations

- 5) Collaboration: the Project involves interjurisdictional, especially Trans-Tasman, collaboration with researchers from two or more ANZSOG University Partners. **(5%)**
- 6) Government support: the Project involves an ANZSOG Government Partner contributing funding or in-kind support. **(5%)**
- 7) Initial outputs: the Project will produce Initial Outputs (within 6-8 months of the grant's start date), regardless of the estimated Project Length. **(5%)**

6. Funding

6.1 Level of Funding

- 6.1.1 Maximum: There is no maximum level of ANZSOG Research Funding Support.
- 6.1.2 Minimum: There is no minimum level of ANZSOG Research Funding Support.
- 6.1.3 Preference: ANZSOG will give strong preference to Proposals for amounts of up to \$50,000.
- 6.1.4 GST: All amounts referred to in the Funding Rules are to be read exclusive of GST (if any) unless expressly stated otherwise.
- 6.1.5 Currency: All monetary amounts in the Funding Rules are expressed in Australian Dollars unless stated otherwise.

6.2 Period of Funding

- 6.2.1 Period of Funding: Projects may be funded for periods up to 18 months, subject to sufficient ANZSOG research funds and satisfactory progress of the Project. However, ANZSOG will give preference to Projects of up to 12 months' duration.

6.3 Types of Research for which Funding is Available

- 6.3.1 Research Topics and Types: In the 2017 funding round, ANZSOG's Research Committee will support research focusing on the following topics and methodologies:

- **Collaborative governance and interface between sectors:** This includes commissioning, co-production, outsourcing, NGO partnerships etc., including between the public, private and 'third' sectors.
- **Research-level case studies:** Case studies that assist with learning from experience in cross-jurisdictional policymaking. These could be either success stories or cautionary tales, as long as they serve to generate wider principles that can be of use to ANZSOG's member governments.
- **Digital leadership and disruptive technology:**
 - Impacts on service delivery (including promising developments and improvements in customer/client experience)
 - Impacts on regulatory performance
 - Opportunities to enhance competition and consumer choice
 - Workforce development for digital leadership

6.3.2 Evidence Base journal: Applicants should give consideration to whether their research topic would lend itself to a review for ANZSOG's peer-reviewed journal *Evidence Base*, which publishes systematic reviews of empirical evidence on specific policy areas. For this purpose, an amount of up to \$10,000 would be paid in addition to the ANZSOG Research Funding Support awarded.

The Research Committee will give preference to applications including an *Evidence Base* review in their research plan.

See journal.anzsog.edu.au for more information.

6.3.3 Supported Costs: ANZSOG Research Funding Support may cover the following types of expenses, provided that they are reasonably incurred in the course of the Project:

Personnel

- Salaries for researchers, research associates and laboratory attendants.
 - NB supporting evidence must be provided of hourly or yearly salaried rate of each individual, as well as calculations of estimated hours to be spent on specific research tasks

Equipment

- Cost of accessing/using any equipment necessary to successful completion of research (including associated acts)

Publication

- Cost of publishing or otherwise communicating Project Outputs, and
- Cost of printing materials in the course of research

Travel

- Travel Costs for researchers (for both domestic and international travel) to:
 - facilitate collaboration between Project researchers
 - foster and strengthen collaboration with researchers and practitioners in other ANZSOG Jurisdictions and countries
 - attend and present Project findings at conferences.

Fieldwork

- Cost of organising workshops, interviews and other observations necessary to derive findings for the Project.

6.3.4 Incidental Costs: ANZSOG Research Funding Support may cover all incidental costs, provided that they are reasonably incurred in the course of the Project expenses noted above.

6.3.5 University overhead/infrastructure charges: ANZSOG has a specific policy to disallow university infrastructure and overhead charges on its grants. These charges are not covered by ANZSOG Research Funding Support.

7. Eligibility

7.1.1 All researchers are open to apply for ANZSOG Research Funding Support, provided that the team's **leader**:

- is closely involved in at least one ANZSOG Activity, or
- demonstrates a strong record of high-quality research in the fields of public administration, public management or public policy.

7.1.2 Researchers need not be citizens or permanent residents of Australia or New Zealand in order to be eligible for ANZSOG Research Funding Support.

8. Application Process

8.1 Submission of Proposals

Format

8.1.1 All Proposals must be submitted by using the Application for ANZSOG Research Funding 2017 form.

- 8.1.2 ANZSOG will not consider Proposals submitted in any other format.
- 8.1.3 ANZSOG will not consider Proposals that are manifestly incorrect or incomplete.

Dates

- 8.1.4 Proposal submissions will be called for by ANZSOG on **12 April 2017**.
- 8.1.5 All Proposals must be finalised and submitted by COB **29 June 2017**.
- 8.1.6 ANZSOG will not consider any late Proposals except where special circumstances exist, provided that such circumstances are communicated to ANZSOG in a timely manner and ANZSOG agrees to accept the late Proposal.

8.2 Selection and Approval Processes

- 8.2.1 All Proposals that are properly submitted and meet all the mandatory requirements detailed in Part 5 will be considered and decided upon by the ANZSOG Research Committee on **20 July 2017**.
- 8.2.2 The Proposal applicant (or **leader** if multiple researchers are involved) will be informed of the Research Committee's decision within 30 days of the meeting.
- 8.2.3 All decisions by the Research Committee are final.

8.3 Payment Procedures

- 8.3.1 If a Proposal is successful, the payment schedule will be specified in the Funding Agreement.
- 8.3.2 ANZSOG reserves the right to determine payment of ANZSOG Research Funding Support as it sees fit.

9. Reduction or Termination of ANZSOG Research Funding Support

ANZSOG may reduce or terminate ANZSOG Research Funding Support after the Grant as a result of a protracted failure to meet the conditions set out in the Funding Agreement.